



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

RCE/2800  
145

PATENT APPLICATION

Robert Alan Hamm  
Rose Fasano Kopf  
Robert William Ryan  
Alaric Tate  
Yu-Chi Wang

Case Name/No. Hamm 12-14-9-7-5  
Serial No: 09/433204  
Filing Date: November 4, 1999  
Group Art Unit: 2823  
Examiner: T. Dang

MAIL STOP RCE  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER 37 CFR § 1.114

SIR:

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of application Serial  
No. 09/433204 filed on November 4, 1999  
entitled Method Of Fabricating A Heterojunction Bipolar Transistor

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**NOTE:** 37 CFR § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a Continued Prosecution Application (CPA) under 37 CFR § 1.53(d) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **SUBMISSION REQUIRED UNDER 37 CFR § 1.114**

- a. ☐ *Previously Submitted*
- i. ☐ Consider the amendment/reply under 37 CFR § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ *Enclosed*
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

06/26/2003 DTESSEH1 00000078 122325 09433204

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Page 1 of 2

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Director of the US Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on June 23, 2003.

*Margaret Cardoso*  
Margaret Cardoso

**2. MISCELLANEOUS**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of \_\_\_\_\_ month(s).  
(Period of suspension shall not exceed 3 months. Fee under 37 CFR § 1.17(i) required.)
- b. ☐ Other \_\_\_\_\_

**3. FEES**

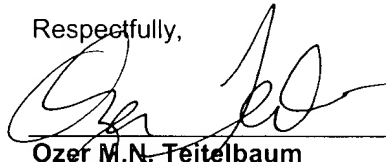
- a. ☒ The Director is hereby authorized to charge the following fees to **Lucent Technologies Deposit Account No. 12-2325**.
- i. ☒ RCE fee required under 37 CFR § 1.17(e) - **\$750.00**
- ii. ☐ Other - \_\_\_\_\_

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error. Duplicate copies of this letter are enclosed.

The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time if not submitted with the reply.

Please address all correspondence to **Docket Administrator (Room 3J-219), Lucent Technologies, Inc., 101 Crawfords Corner Road, Holmdel, New Jersey 07733-3030**. However, telephone calls should be made to me at **973-386-8803**.

Respectfully,



**Ofer M.N. Teitelbaum**  
Attorney for Applicant(s)  
Reg. No.: **36698**

Date: June 23, 2003

**Lucent Technologies Inc.**  
**101 Crawfords Corner Road**  
**Room 3J-219**  
**Holmdel, New Jersey 07733-3030**



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Title Method Of Fabricating A Heterojunction Bipolar Transistor

COMMISSIONER FOR PATENTS

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ALEXANDRIA, VA 22313-1450

SIR:


Enclosed is an amendment in the above-identified application.

Please charge the amount of \$628 to **Lucent Technologies Deposit Account No. 12-2325** to cover the fee as calculated below. Duplicate copies of this letter are enclosed.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims for Fee Purposes	15	minus	20	0	x \$18 =	\$0
Independent Claims	3	minus	3	0	x \$84 =	\$0
Multiple Dependent Claims first presented with this amendment, if applicable					+ \$280	\$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:						\$0

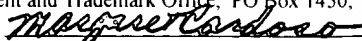
In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

  
Ozer M.N. Teitelbaum, Attorney  
Reg. No. 36698  
973-386-8803.

Date: June 23, 2003  
Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

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Mail Stop Amendment, Director of the US Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on June 23, 2003.

  
Margaret Cardoso

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